



Key Investor Information Services

Unlock the potential of sophisticated content management

The most recent update of the highly successful UCITS framework introduced, among other measures, Key Investor Information (KII). It replaces the Simplified Prospectus introduced under UCITS III in 2001.

- KII is a pre-contractual document that must be made available to investors before subscribing.
- The Management Company of the UCITS fund must be able to track for five years the KII version used for subscriptions.
- KII must be written in plain language avoiding technical jargon.

Key Investor Information must be prepared for all UCITS funds and each share class (some exceptions apply) along precise contents and presentation requirements.

KII cannot exceed 2 pages (3 pages for structured funds) and must be updated annually on 31 December.

Additional updates throughout the year are required when material elements in the KII change.

KII is a significant step towards ensuring a consistent, harmonised and comparable way to provide investors with information on an investment.

The Management Company of a UCITS or the UCITS fund itself is ultimately responsible for all elements of KII. However, the volumes of KII to be prepared, combined with strict contents rules, translation needs and update frequencies can pose considerable organisational challenges.

How our solutions can work for you

RBC Dexia offers a powerful end-to-end servicing solution tailored to the needs of preparing, maintaining and disseminating KIIs. You focus on the contents of the KII, while we handle the administrative burden of production:

- Static and dynamic data gathering
- Calculation of ongoing charges + Risk and Reward Indicator
- Fully-customisable KII templates to meet your corporate branding requirements
- Initial translation and maintenance of translated versions in line with original language
- Mass production and mass dissemination of KII
- Archiving of all KII versions with easy on-line access
- Monitoring of events that require KII updates
- Tracking and storage of KIIs used for subscriptions, if acting as Transfer Agent

Your fund range is currently spread over multiple service providers, and you are looking for a single solution to centralise production of your KII?

Our servicing solution can accommodate data from multiple sources, even third party service providers.

You may alternatively opt for a greater level of involvement in the KII production and update process by directly using our powerful web-based content management engine.

In addition to KII, our solution is also designed to handle other structured documents needed for international distribution, such as Key Fact Statements for Hong Kong.

Preparing your KII with RBC Dexia is the first step to achieving the efficiencies you need to focus on your core activities and the success of your business.

Our Service Options

	Main Solution	Optional Solutions	
	Full Service	Enhanced Service	Workflow Service
Process responsibility			
Process responsibility and KII validation	Client responsibility		
Identifying distribution methods and channels for KII			
Validation of final KII			
Drafting of risk disclosures			
Contents			
Setup and organisation (setting up the system, user rights, templates)	●		
Data gathering - Static information as made available by the client, and operational information	●		
Population of KII templates with contents	●		
Maintenance of KII (updates of KII along triggered events and as of 31 December)	●		
Calculated Contents			
SRRI calculation	●	●	
Ongoing Charges calculation	●	●	
Past Performance calculation	●	●	
Output and monitoring			
Workflow monitoring dashboard	●	●	●
Translations	●	●	●
Distribution of KII	●	●	●
Archiving of KII	●	●	●

Service limitations may apply

Contact us

As a leading service provider in custody, administrative and value-added services for institutional investors, and with its international network of entities, RBC Dexia Investor Services is your solution provider of choice for Key Investor Information.

For more information on how our solutions can work for you, visit our website at rbcdexia.com for the RBC Dexia representative nearest to you.